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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Walter LINDNER

U.S. Application No.: 10/534,659

Int'l Appln. No.: PCT/EP2003/012513

Int'l Filing Date: November 10, 2003

For: INSTALLATION AND METHOD  
FOR THE PRODUCTION OF GLASS  
STOPPERS USED FOR SEALING  
BOTTLES

Customer No.: 20350

Confirmation No.: 7089

Examiner:

Art Unit:

TRANSMITTAL LETTER –  
RESPONSE TO NOTIFICATION OF  
MISSING REQUIREMENTS

November 9, 2005

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to the Notification of Missing Requirements, dated September 30, 2005,  
enclosed are the following to be made of record in the above-identified application:

- 1) Executed Declaration;
- 2) Executed Power of Attorney by Assignee;
- 3) Executed Certificate of Assignee Under 37 C.F.R. § 3.73(b); and
- 4) Copy of Notice of Missing Parts.

Please charge Deposit Account No. 20-1430 for the following fees:

Large Entity:	(a)	Filing Fee	\$0
	(b)	Search Fee	\$0
	(c)	Examination Fee	\$0
	(d)	Application Size Fee	\$0
	(e)	Excess Claims Fees (§ 1.16(b), (c)):	
		- =	x =
		- =	x =
	(f)	Multiple Dependent Claims	
	(g)	Missing Parts Surcharge	\$130
		<b>TOTAL FEES TO BE CHARGED</b>	<b>\$130</b>

The Commissioner is hereby authorized to charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to Deposit Account No. 20-1430. This Transmittal Letter is submitted in duplicate.

Respectfully submitted,

  
J. Georg Saka  
Reg. No. 24,491

**Customer No. 20350**

TOWNSEND and TOWNSEND and CREW LLP  
Two Embarcadero Center, Eighth Floor  
San Francisco, California 94111-3834  
Tel: 415-576-0200  
Fax: 415 576-0300  
JGS:sba

<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371</b>		ATTORNEY'S DOCKET NUMBER <b>081542-000000US</b>
INTERNATIONAL APPLICATION NO. <b>PCT/EP2003/012513</b>	INTERNATIONAL FILING DATE <b>November 10, 2003</b>	U.S. APPLICATION NO. (If known, see 37 CFR 1.5) <b>10/534,659</b>
TITLE OF INVENTION <b>INSTALLATION AND METHOD FOR THE PRODUCTION OF GLASS STOPPERS USED FOR SEALING BOTTLES</b>		PRIORITY DATE CLAIMED <b>November 12, 2002</b>
APPLICANT(S) FOR DO/EO/US <b>Walter LINDNER</b>		

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☐ The US has been elected (Article 31).
5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☐ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**Items 11 to 20 below concern document(s) or information included:**

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A preliminary amendment.
14. ☐ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☒ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 – 1.825.
18. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) <b>10/534,659</b>		INTERNATIONAL APPLICATION NO. <b>PCT/EP2003/012513</b>		ATTORNEY'S DOCKET NUMBER <b>081542-000000US</b>		
20. Other items or information: Express Mail Label No. EV383390622US Statement Under 3.73(b)						
The following fees have been submitted 21. <input type="checkbox"/> Basic national fee (37 CFR 1.492(a)) ..... <b>\$300</b>				<b>CALCULATIONS    PTO USE ONLY</b>		
22. <input type="checkbox"/> Examination fee (37 CFR 1.492(c)) If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) ..... <b>\$0</b> All other situations ..... <b>\$200</b>				\$		
23. <input type="checkbox"/> Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) ..... <b>\$0</b> Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority ..... <b>\$100</b> International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB ..... <b>\$400</b> All other situations ..... <b>\$500</b>				\$		
<b>TOTAL OF 21, 22 and 23 =</b>				\$		
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is <b>\$250</b> for each additional 50 sheets of paper or fraction thereof.				\$		
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE			
- 100 =	/50 =		x <b>\$250</b>			
Surcharge of <b>\$130.00</b> for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).				\$ 130.00		
CLAIMS		NUMBER FILED	NUMBER EXTRA	RATE		
Total claims		- 20 =		x <b>\$ 50</b>	\$	
Independent claims		- 3 =		x <b>\$200</b>	\$	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+ <b>\$360</b>	\$	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$		
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.				\$		
<b>SUBTOTAL =</b>				\$ 130.00		
Processing fee of <b>\$130.00</b> for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).				+		
<b>TOTAL NATIONAL FEE =</b>				\$ 130.00		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). <b>\$40.00</b> per property				+		
<b>TOTAL FEES ENCLOSED =</b>				\$ 130.00		
				Amount to be refunded:	\$	
				Amount to be charged:	\$ 130.00	

11/16/2005 GFREY1 00000117 201430 10534659

01 FC:1617 130.00 DA

- a. ☐ A check in the amount of \$ \_\_\_\_\_ to cover the above fees is enclosed.
- b. ☒ Please charge my Deposit Account No. 20-1430 in the amount of \$ 130.00 to cover the above fees.  
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to  
Deposit Account No. 20-1430. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information  
should not be included on this form.** Provide credit card information and authorization on PTO-2038.

**NOTE:** Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must  
be filed and granted to restore the International Application to pending status.

SEND ALL CORRESPONDENCE TO:

J. Georg Seka  
TOWNSEND AND TOWNSEND AND CREW LLP  
Two Embarcadero Center, Eighth Floor  
San Francisco, CA 94111-3834

SIGNATURE

J. Georg Seka

NAME

24,491

REGISTRATION NUMBER

60628519 v1



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

081542-000000US  
JGS,SE

U.S. APPLICATION NUMBER NO. 10/534,659	FIRST NAMED APPLICANT Walter Lindner	ATTY. DOCKET NO. 081542-000000US
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20350  
TOWNSEND AND TOWNSEND AND CREW, LLP  
TWO EMBARCADERO CENTER  
EIGHTH FLOOR  
SAN FRANCISCO, CA 94111-3834

INTERNATIONAL APPLICATION NO. PCT/EP03/12513	
I.A. FILING DATE 11/10/2003	PRIORITY DATE 11/12/2002

CONFIRMATION NO. 7089  
371 FORMALITIES LETTER



\*OC000000017129553\*

*response Due 11/30/05*

Date Mailed: 09/30/2005

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 05/10/2005
- English Translation of the IA filed on 05/10/2005
- Copy of the International Search Report filed on 05/10/2005
- Preliminary Amendments filed on 05/10/2005
- Information Disclosure Statements filed on 06/30/2005
- Copy of references cited in ISR filed on 06/30/2005
- U.S. Basic National Fees filed on 05/10/2005
- Priority Documents filed on 05/10/2005
- Specification filed on 05/10/2005
- Claims filed on 05/10/2005
- Abstracts filed on 05/10/2005
- Drawings filed on 05/10/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

COPY

*huc*

## SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$130** for a Large Entity:

- **\$130** Surcharge.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

BARBARA A CAMPBELL

Telephone: (703) 308-9140 EXT 217

## PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/534,659	PCT/EP03/12513	081542-000000US